

Annexure 1B: Broker Contract

References

Chapter 1, SIM VSE Business Rules

Name of applicant	ACN, ARBN or ARSN (if applicable)
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having provided the Exchange with a completed application for recognition as an Exchange Broker dated

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agrees that:

- 1 we have provided the Exchange with all information and documents required by the application form and the Exchange Business Rules to be recognised as an Exchange Broker. We acknowledge that the Exchange is relying on the information and documents. We warrant that they are true and complete in all relevant respects;
- 2 we will indemnify the Exchange to the fullest extent permitted by law in relation to any claim, action or expense arising from any breach of the warranties in this agreement;
- 3 we will comply with the spirit, intention and purpose of the Exchange Business Rules having regard to the principles upon which they are based;
- 4 the Exchange has discretion to take no action in response to a breach of a Business Rule. The Exchange may also waive certain Rules either at our request or of its own accord on any conditions. The Exchange may at any time vary or revoke any decision it makes in relation to the Exchange Business Rules at our request or of its own accord;
- 5 any document given to the Exchange by us, or on our behalf, in relation to our application or otherwise becomes and remains the property of the Exchange to deal with as it wishes, including copying, storing in a retrieval system, transmitting to the public, and publishing any part of the document and permitting others to do so;
- 6 in any proceedings, a copy or extract of any document or information given to the Exchange is of equal validity in evidence as the original.

Signed: _____
(Exchange Broker) (Authorised Signatory)

Print name: _____

Address of Exchange Broker: _____

Dated: _____